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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/527,717	03/17/2000	Dean Hahn-Carlson	USBA.004PA	3103
40581	7590 09/12/2006		EXAM	INER
	RD MAUNU PLLC	BACKER, FIRMIN		
1270 NORTHLAND DRIVE, SUITE 390 ST. PAUL, MN 55120			ART UNIT	PAPER NUMBER
51.11.6 <u>2</u> ,			3621	
		DATE MAILED: 09/12/2006		

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)			
	09/527,717	HAHN-CARLSON, DEAN			
Office Action Summary	Examiner	Art Unit			
	FIRMIN BACKER	3621			
The MAILING DATE of this communication a Period for Reply	appears on the cover sheet v	vith the correspondence address			
A SHORTENED STATUTORY PERIOD FOR REWHICHEVER IS LONGER, FROM THE MAILING - Extensions of time may be available under the provisions of 37 CFR after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory per - Failure to reply within the set or extended period for reply will, by state Any reply received by the Office later than three months after the material patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUN 1.136(a). In no event, however, may a iod will apply and will expire SIX (6) MO atute, cause the application to become A	ICATION. a reply be timely filed DNTHS from the mailing date of this communication. ABANDONED (35 U.S.C. § 133).			
Status					
1) Responsive to communication(s) filed on 15	5 June 2006.				
2a) ☐ This action is FINAL . 2b) ☒ T	This action is FINAL . 2b)⊠ This action is non-final.				
3) Since this application is in condition for allow	wance except for formal ma	tters, prosecution as to the merits is			
closed in accordance with the practice unde	er <i>Ex par</i> te Quayle, 1935 C.I	D. 11, 453 O.G. 213.			
Disposition of Claims					
4)⊠ Claim(s) 13 and 16-26 is/are pending in the	application.				
4a) Of the above claim(s) is/are without					
5) Claim(s) is/are allowed.					
6)⊠ Claim(s) <u>13 and 16-26</u> is/are rejected.					
7) Claim(s) is/are objected to.					
8) Claim(s) are subject to restriction and	d/or election requirement.				
Application Papers					
9) The specification is objected to by the Exam	iner.				
10) ☐ The drawing(s) filed on is/are: a) ☐ a	accepted or b) 🗀 objected to	by the Examiner.			
Applicant may not request that any objection to t	he drawing(s) be held in abeya	ance. See 37 CFR 1.85(a).			
Replacement drawing sheet(s) including the corr	rection is required if the drawing	g(s) is objected to. See 37 CFR 1.121(d).			
11) ☐ The oath or declaration is objected to by the	Examiner. Note the attache	ed Office Action or form PTO-152.			
Priority under 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for fore a) All b) Some * c) None of:		§ 119(a)-(d) or (f).			
 Certified copies of the priority docume Certified copies of the priority docume 		Application No			
3. Copies of the certified copies of the p					
application from the International Bur	•	Treceived in this Matterial Claye			
* See the attached detailed Office action for a	,	ot received.			
Attachment(s)					
1) Notice of References Cited (PTO-892)		Summary (PTO-413)			
 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Thrormation Disclosure Statement(s) (PTO-1449 or PTO/SB/ 	-	o(s)/Mail Date Informal Patent Application (PTO-152)			
Paper No(s)/Mail Date	6) Other:	., , , , , , , , , , , , , , , , , , ,			

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DETAILED ACTION

Response to Arguments

1. Claims 13, 16-26 have been examined.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 3. Claims 13 and 16-26 are rejected under 35 U.S.C. 102(e) as being anticipated by Henn (U.S. Patent No. 5,770,844).
- 4. As per claims 13 and 16-26 Henn teach for transaction processing involving transaction information related to services provided from a vendor and one of plurality of subvendors and processed by one of a plurality of subvendor controlled merchant-offering providers, a method for validating a service transaction for auditing comprising: generating transaction information prior to processing by subvendor, providing an authorized profile list criterion that includes information about authorized users empowered to authorize payment by the vendor; and using a computer arrangement, maintaining data relating to the authorized profile list criterion and processing the transaction information by determining whether the transaction information satisfies the authorized profile list criterion, and by using the authorized profile list criterion to

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generate information for auditing a transaction between said one of a plurality of vendors and said one of a plurality of merchant-offering providers (see summary of the invention, column 2 lines 40- column 5 line 54).

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. (see form 892).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to FIRMIN BACKER whose telephone number is 571-272-6703. The examiner can normally be reached on Monday - Thursday 9:00 AM - 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James Trammell can be reached on (571) 272-6712. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).